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IN THE U.S. PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 0375.3-1-1

Transmitted herewith for filing is the original patent application of:

Inventor(s): Guillermo J. Tearney et al

For: SPECTRALLY ENCODED MINIATURE ENDOSCOPIC IMAGING PROBE

Enclosed are:

- ☒ 29 pages of specification, 1 page of abstract, 17 pages of claims, 7 sheets of drawing(s)
- ☒ Declaration and Power of Attorney- Unexecuted
- ☐ An assignment of the invention to _____
- ☐ A certified copy of a _____ application
- ☒ A verified statement to establish small entity status under 37 C.F.R. §1.9 and 37 C.F.R. §1.27
- ☐ Information Disclosure Statement, with Form PTO-1449 and a copy of each cited reference

The filing fee has been calculated as shown below:

	NO. OF CLAIMS FILED	NO. OF CLAIMS ALLOWED	NO. EXTRA	SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR:				RATE	FEE	RATE	FEE
BASIC FEE					\$355		\$710
TOTAL CLAIMS	67	20	47	X \$9 =	\$423	X \$18 =	
INDEPENDENT	14	3	11	X \$40 =	\$440	X \$80 =	
MULTIPLE DEPENDENT CLAIM PRESENTED:				X \$135 =		+ \$270 =	
LATE-FILING SURCHARGE				+ 65 =		+ \$130 =	
FOREIGN LANGUAGE SURCHARGE				+ \$130 =		+ \$130 =	
				TOTAL	\$1218		

- ☒ A check in the amount of \$1218 for the filing fee is enclosed.
- ☐ A check in the amount of \$40 for the assignment recording fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment to Account No. 02-2138. A duplicate of this sheet is enclosed.
- ☒ A filing receipt card to be stamped with the filing date and serial number and returned to us.


Express Mail Mailing Label Number **EL660499678US**

Date of Deposit: November 10, 2000

I hereby certify that the paper or fee is being deposited with United States Postal Service "Express Mail Post Office to Addressee" Service Under 37 CFR §1.10 on the date indicated above addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

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